



P A T E N T

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: James K. Guenter et al.

Examiner: Hung T. Vy

Serial No.: 09/577,034

Group Art Unit 2828

Filed: May 23, 2000

For: SYSTEM AND METHOD FOR VCSEL POLARIZATION CONTROL

Docket No.: 1139.1110101 (M40 26373 US)

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TRANSMITTAL SHEET

**Box Amendment**

Assistant Commissioner for Patents  
Washington, D.C. 20231

**CERTIFICATE UNDER 37 C.F.R. 1.10:** The undersigned hereby certifies that this paper or papers, as described herein, are being deposited in the United States Postal Service, "Express Mail Post Office to Addressee" having an Express Mail mailing label number of: EL921288318US, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C., 20231 on this 22nd day of August, 2002.

By \_\_\_\_\_

Lynn Thompson  
Lynn Thompson

We are transmitting herewith the attached:

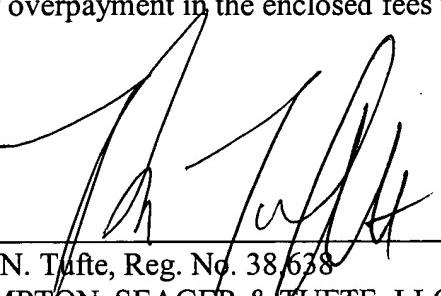
Amendment

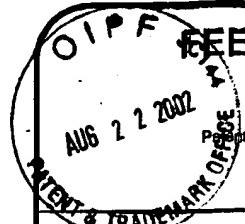
The fee has been calculated as shown:

CLAIMS AS AMENDED							
	(3) REMAINING CLAIMS	(4) HIGHEST PAID	(5) EXTRA	SMALL ENTITY		OTHER	
TOTAL CLAIMS	22-	20=	2	x9=	\$	x18=	\$ 36
INDEPENDENT CLAIMS	5-	3=	2	x42=	\$	x84=	\$ 168
( ) FIRST MULTIPLE DEPENDENT CLAIM				+140=	\$	+280=	\$
TOTAL				\$	\$204.00		

- Letter to Official Draftsman with 1 redlined drawing and 1 substitute formal drawing for approval by Examiner.
- Fee Transmittal Sheet authorizing payment of \$204.00 to Deposit Account No. 01-1125.
- Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 01-1125.

By: \_\_\_\_\_

  
Brian N. Tufte, Reg. No. 38,638  
CROMPTON, SEAGER & TUFTE, LLC  
331 Second Avenue South, Suite 895  
Minneapolis, Minnesota 55401-2246  
Telephone: (612) 677-9050  
Facsimile: (612) 359-9349



# Fee Transmittal For FY 2002

Patent fees are subject to annual revision.

**TOTAL AMOUNT OF PAYMENT** \$204.00

## COMPLETE IF KNOWN

Application No.	09/577,034.
Filing Date	05-23-00
First Named Inventor	James K. Ginter
Group Art Unit	2828.
Examiner Name	Vy, Hung T.
Attorney Docket No.	M40 26373 US

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AUG 27 2002

## METHOD OF PAYMENT (check one)

- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **01-1125**  
Deposit Account Name **Honeywell International Inc.**

Charge any Additional Fee Required  
Under 37 CFR §§1.16 & 1.17

- Payment Enclosed:  
 Check     Money Order     Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	\$	Fee Code	\$		
101	740	201	370	Utility Filing Fee	
106	330	206	165	Design Filing Fee	
107	510	207	255	Plant Filing Fee	
108	740	208	370	Reissue Filing Fee	
114	160	214	80	Provisional Filing Fee	
<b>SUBTOTAL (1)</b>					\$

### 2. EXTRA CLAIM FEES

Total Claims	-20-	Extra Claims	Fee from below	Fee Paid
Independent	22	2	x 18.00	= 36.00
Multiple Dependent Claims	4	2	x 81.00	= 162.00
		-0-	x 280.00	= 0-

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	\$	Fee Code	\$		
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claim	
109	84	209	42	Reissue independent claims over original patent	
110	18	210	9	Reissue claims in excess of 20 and over original patent	
<b>SUBTOTAL (2)</b>					\$ 204.00

### 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	\$	Fee Code	\$
105	130	205	65
127	50	227	25
139	130	139	130
147	2,520	147	2,250
112	920*	112	920*
113	1,840*	113	1,840*
115	110	215	55
116	400	216	200
117	820	217	480
118	1,440	218	720
128	1,960	228	980
119	320	219	180
120	320	220	180
121	280	221	140
138	1,510	138	1,150
140	110	240	55
141	1,280	241	640
142	1,280	242	640
143	460	243	230
144	620	244	310
122	130	122	130
123	50	123	50
128	180	126	180
581	40	581	40
145	740	248	370
149	740	249	370
179	740	279	3370
183	900	189	900
195	300	195	300
Other fee (specify)			

\* Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)**

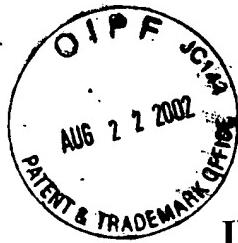
\$

## SUBMITTED BY

Name (Print / Type)	Andrew A. Abeyta	Registration No. (Attorney/Agent)	39,582	Complete (if applicable)
Signature				Date 8-22-02

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

Burden Hour Statement: This form is estimated to take .2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, D.C., 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC, 20231.



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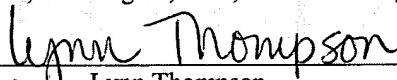
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**LETTER TO OFFICIAL DRAFTSMAN**

Assistant Commissioner for Patents  
Washington, D.C. 20231

**CERTIFICATE UNDER 37 C.F.R. 1.10:** The undersigned hereby certifies that this paper or papers, as described herein, are being deposited in the United States Postal Service, "Express Mail Post Office to Addressee" having an Express Mail mailing label number of: EL921288318US, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C., 20231 on this 22nd day of August, 2002.

By \_\_\_\_\_

  
Lynn Thompson

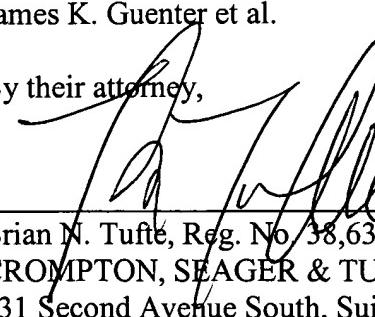
Dear Sir:

Submitted herewith is one (1) redlined drawing and (1) substitute formal drawing for the approval of the Examiner.

Respectfully submitted,

James K. Guenter et al.

By their attorney,

  
\_\_\_\_\_  
Brian N. Tufte, Reg. No. 38,636  
CROMPTON, SEAGER & TUFTE, LLC  
331 Second Avenue South, Suite 895  
Minneapolis, Minnesota 55401-2246  
Telephone: (612) 677-9050  
Facsimile: (612) 359-9349

Date: August 22, 2002